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PTO/SB/122 (04-05)

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## CHANGE OF CORRESPONDENCE ADDRESS Application

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P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/743,096
Filing Date	4/17/2001
First Named Inventor	Per Stobbe
Art Unit	1764
Examiner Name	Hien Thi Tran
Attorney Docket Number	NT03-001 (0459-0539P)

Please change the Correspondence Address for the above-identified patent application to:

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I am the:

- ☐ Applicant/Inventor
- ☒ Assignee of record of the entire interest.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☐ Attorney or agent of record. Registration Number \_\_\_\_\_
- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_

Signature

Typed or Printed  
Name

Kees van der Sterre

Date July 22, 2005

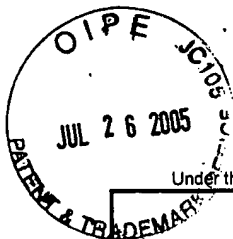
Telephone (607) 974-3294

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ \*Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Corning IncorporatedApplication No./Patent No.: 09/743,096 Filed/Issue Date: 4/17/2001

Entitled: DIESEL EXHAUST GAS FILTER

Corning Incorporated, a New York corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: the inventors: P. Stobbe et al. To: Silentor NoTox A/S  
The document was recorded in the United States Patent and Trademark Office at  
Reel 011824, Frame 0734, or for which a copy thereof is attached.
2. From: Silentor NoTox A/S To: Corning Incorporated  
The document was recorded in the United States Patent and Trademark Office at  
Reel 011995, Frame 0628, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Kees van der Sterre  
SignatureJuly 22, 2005

Date

Kees van der Sterre

Printed or Typed Name

(607) 974-3294

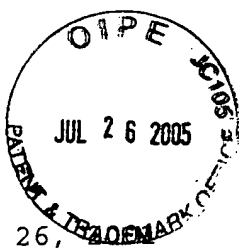
Telephone Number

Division Patent Counsel

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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Washington, D.C. 20231

SEPTEMBER 26,

PTAS

BIRCH, STEWART, KOLASCH & BIRCH, LLP  
JOE MCKINNEY MUNCY  
P.O. BOX 747  
FALLS CHURCH, VA 22040-0747



\*101788827A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/19/2001

REEL/FRAME: 011995/0828  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
SILENTOR NOTOX A/S

DOC DATE: 05/30/2001

ASSIGNEE:  
CORNING INCORPORATED  
1 RIVERFRONT PLAZA  
CORNING, NEW YORK 14831

SERIAL NUMBER: 09743096  
PATENT NUMBER:

FILING DATE: 04/17/2001  
ISSUE DATE:

LAZENA MARTIN, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

ASSIGNMENT

WHEREAS, Silentor NoTox A/S, a corporation organized and existing under and by virtue of the laws of Denmark, having an office at Baldersbuen 22, DK-2640 Hedehusene, Denmark, is the owner by previous assignment, dated March 14, 2001 and April 10, 2001, respectively, of the entire undivided right, title and interest of Per STOBBE and Jakob Weiland HØJ in and to an invention for new and useful improvements in or relating to DIESEL EXHAUST GAS FILTER for which an application for Letters Patent in the United States was executed on March 14, 2001 and March 26, 2001, respectively and assigned serial number 09/743,096, and is the owner of an undivided right, title and interest in and to said application by said previous assignment;

WHEREAS, Corning Incorporated, a corporation organized and existing under and by virtue of the laws of the State of New York, having an office at 1 Riverfront Plaza, Corning, NY 14831, United States of America, is desirous of acquiring the entire right, title and interest in and to the said application and the invention therein described and claimed and any Letters Patent that may be issued upon said application or for the improvements therein contained;

NOW THEREFORE, for and in consideration of the sum of Ten Dollars (\$10.00) lawful money of the United States and other valuable considerations, to me in hand paid, the receipt of and sufficiency whereof are hereby acknowledged, the said Silentor NoTox A/S, has sold, assigned and transferred and does hereby sell, assign and transfer unto the said Corning Incorporated, its successors and assigns, its entire undivided right, title and interest in and to the said application and the invention therein contained, including all rights of action and damages for past infringement, and including the right to apply for any Letters Patent in the United States of America and in all foreign countries on said invention and including the right to claim the priority of the date of filing in the United States and any Letters Patent that may issue thereon, or therefor, in the United States and foreign countries and all reissues, extensions, renewals, divisions and continuations thereof, to the full end of the term or terms for which said Letters Patent may be issued, to be held and enjoyed by the said Corning Incorporated, its successors and assigns the same as it would have been held and enjoyed by Silentor NoTox A/S, if this assignment and sale had not been made.

AND, Silentor NoTox A/S, hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all such Letters Patents to the said Corning Incorporated, in accordance with this instrument of assignment.

Silentor NoTox A/S, hereby represents and warrants that there

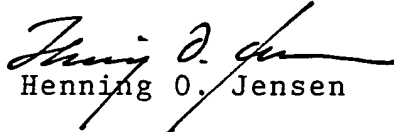
are no rights or interests outstanding inconsistent with the rights and interests granted herein and that Silentor NoTox A/S, will not execute any instrument or grant or transfer any rights or interests inconsistent therewith, and Silentor NoTox A/S, binds itself and its heirs, executors, administrators, employees and legal representatives, as the case may be, to execute and deliver to the said Corning Incorporated, its successors and assigns, any further documents or instruments and do any and all further acts that may be deemed necessary by the said Corning Incorporated, its successors and assigns to file applications for the said improvements and invention in any country where it may elect to file such applications, and that may be necessary to vest in the said Corning Incorporated, its successors and assigns, the title herein conveyed, or intended so to be, and to enable such title to be recorded in the United States and foreign countries where such application or applications may be filed.

AND, Silentor NoTox A/S, further covenants and agrees, in consideration of the premises, that it, its executors and administrators will, at any time upon request, communicate to the said Corning Incorporated, its successors and assigns, any facts relating to the said invention and improvements and the history thereof, known to it or its successors and assigns, and that it will testify as to the same in any interference or other proceeding when requested to do so by the said Corning Incorporated, its successors and assigns.

The undersigned hereby grants the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

IN TESTIMONY WHEREOF, Silentor NoTox A/S, have hereunto set my hands and seal this 30 day of May 2001.

Silentor NoTox A/S,

By:  Henning O. Jensen

Title: President, CEO

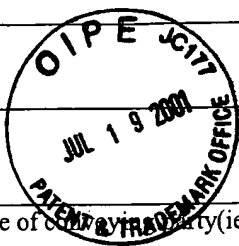
By:  Michael Arnstedt

Title!Member of the board

07-26-2001

Atty. Docket No.: 0459-0539P

Page 1 of 1



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MRD

To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copy thereof.

7.19.01

1. Name of conveying party(ies):

Silentor NoTox A/S



Additional name(s) of conveying party(ies) attached?

☐ YES ☒ NO

2. Name and address of receiving party(ies)

Name: Corning Incorporated

Internal Address:

Street Address: 1 Riverfront Plaza

City: Corning

State: NY

ZIP: 14831

Country: USA

Postal Code:

Additional name(s) & address(es) attached? ☐ YES ☒ NO

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

Execution Date: May 30, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

09/743,096

B. Patent No.(s).

Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: BIRCH, STEWART, KOLASCH &amp; BIRCH, LLP

Street Address: P.O. BOX 747

City: FALLS CHURCH State: VA ZIP: 22040-0747

Country: USA

6. Total No. of applications/patents involved: one (1)

7. Total fee (37 C.F.R. § 3.41): \$40.00

☒ Enclosed☒ Authorized to be charged to deposit account, if no fee attached.

8. Deposit account number: 02-2448

(Attach triplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joe McKinney Muncy, #32,334

Name of Person Signing/Reg. No.

Signature

July 19, 2001

Date

Total number of pages including cover sheet, attachments, and document: five (5)

07/26/2001 LMUELLER 00000023 09743096

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(Rev. 06/29/01)





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**Patent and Trademark Office**  
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 Washington, D.C. 20231

AUGUST 08, 2001

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 JOE MCKINNEY MUNCY  
 P.O. BOX 747  
 FALLS CHURCH, VA 22040-0747



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RECORDATION DATE: 05/22/2001

REEL/FRAME: 011824/0734  
 NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
 STOBBE, PER

DOC DATE: 03/14/2001

ASSIGNOR:  
 HOJ, JAKOB WEILAND

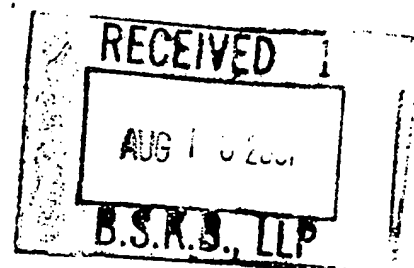
DOC DATE: 04/10/2001

ASSIGNEE:  
 SILENTOR NOTOX A/S  
 BALDESBUEHN 22  
 HEDEHUSENE, DENMARK DK-2640

SERIAL NUMBER: 09743096  
 PATENT NUMBER:

FILING DATE: 04/17/2001  
 ISSUE DATE:

DOROTHY BASMA, EXAMINER  
 ASSIGNMENT DIVISION  
 OFFICE OF PUBLIC RECORDS



**BIRCH, STEWART, KOLASCH & BIRCH, LLP**UNITED STATES PATENT RIGHTS, OR  
UNITED STATES PLUS ALL FOREIGN PATENT RIGHTS**ASSIGNMENT**Application No. 09/743,096Filed January 5, 2001Insert Name(s)  
of Inventor(s)WHEREAS, Per STOBBE Jakob Weiland HOJ

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

(hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in

Insert Title  
of InventionDIESEL EXHAUST GAS FILTER

for which an application for Letters Patent of the United States of America has been executed by the undersigned (except in the case of a provisional application).

Insert Date  
of Signing of  
Applicationon March 14, 2001 and March 26, 2001, respectively; andInsert Name  
of AssigneeWHEREAS, SILENTOR NOTOX A/SInsert Address  
of Assigneeof Baldersbuen 22, DK-2640 Hedehusene DENMARK

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and

CHECK BOX  
IF APPROPRIATE☐ in any foreign countries.

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents does sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries;

and to any and all divisions, reissues, continuations, conversions and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional, conversion or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree (s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division, conversion or reissue thereof or Letter Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States of America patent(s) or a grant of a valid United States of America and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patents resulting from said application or any continuing, divisional conversion or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

The undersigned hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date 1/4/03

Name of Inventor Per Stobbe  
(signature) Per STOBBE

Date 10.04.01

Name of Inventor Jakob Weiland HOJ  
(signature) Jakob Weiland HOJ

Date \_\_\_\_\_

Name of Inventor \_\_\_\_\_  
(signature)

Date \_\_\_\_\_

Name of Inventor \_\_\_\_\_  
(signature)

Date \_\_\_\_\_

Name of Inventor \_\_\_\_\_  
(signature)

Date \_\_\_\_\_

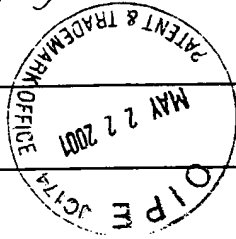
Name of Inventor \_\_\_\_\_  
(signature)

MRD 5-22-01

05-25-2001

Atty. Docket No.: 0459-0539P

Page 1 of 1



RECO.

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.T

To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Per STOBBE; Jakob Weiland HOJ

Additional name(s) of conveying party(ies) attached?

☐ YES ☒ NO

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:Execution Date: March 14, 2001 and April 10, 2001,  
respectively

## 2. Name and address of receiving party(ies)

Name: SILENTOR NOTOX A/S

Internal Address:

Street Address: Baldersbuen 22

City: Hedehusene State: ZIP:

Country: Denmark Postal Code: DK-2640

Additional name(s) & address(es) attached? ☐ YES ☒ NO

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

## A. Patent Application No(s).

09/743,096

## B. Patent No.(s).

Additional numbers attached? ☐ YES ☒ NO

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: BIRCH, STEWART, KOLASCH &amp; BIRCH, LLP

Street Address: P.O. BOX 747

City: FALLS CHURCH State: VA ZIP: 22040-0747

Country: USA

## 6. Total No. of applications/patents involved: one (1)

## 7. Total fee (37 C.F.R. § 3.41): \$40.00

☒ Enclosed☒ Authorized to be charged to deposit account,  
if no fee attached.

## 8. Deposit account number: 02-2448

(Attach triplicate copy of this page  
if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joe McKinney Muncy, #32,334

Name of Person Signing/Reg. No.

Signature

May 22, 2001

Date

Total number of pages including cover sheet, attachments, and document: three (3)

KM/asc